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## FAX TRANSMISSION

DATE:

July 30, 2008

PTO IDENTIFIER:

Application Number 10/804,328-Conf. #7032

**Patent Number** 

Inventor:

Nobuyuki Takamori et al.

**MESSAGE TO:** 

US Patent and Trademark Office

**FAX NUMBER:** 

(571) 273-8300

FROM:

EDWARDS ANGELL PALMER & DODGE LLP

Jonathan M. Sparks, Ph.D.

PHONE:

(617) 517-5543

Attorney Dkt. #:

61032(70904)

PAGES (Including Cover Sheet):

CONTENTS: | Pee Transmittal (1 page)

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Information Disclosure Statement (2 pages) Charge \$180.00 to deposit account 04-1105

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Application No. //f Pagerwork 40/804-000

Application No. (if known): 10/804,328

Attorney Docket No.: 61032(70904)

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| FEE TRANSMITTAL   |                                 |  | Complete if Known Application Number 10/804,328-Conf. #7032 |   |   |                 |             |  |  |  |
|   |                                 |  | Filing Date   |   | March 18, 2004                              |                 |             |  |  |  |
|   |                                 |  | First Named Inventor  |   | Nobuyuki Takamori                           |                 |             |  |  |  |
| For FY 2008   |                                 |  |   |   | E. E. Mulvaney                              |                 |             |  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27   |                                 |  |   |   | 1794  |                 |             |  |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 180.00   |                                 |  | 744 0.11.   |   | 61032(70904)                                |                 |             |  |  |  |
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| The Charge any additional fee(s) or underpayments of  |                                 |  |   |   |   |                 |             |  |  |  |
| fee(s) under 37 CFR 1.16 and 1.17  FEE CALCULATION  |                                 |  |   |   |   |                 |             |  |  |  |
| 1. BASIC FILING, SI   |                                 | AMINATION FEES                                   | ·   |   |   |                 |             |  |  |  |
|   |                                 |  | ARCH FEES Small Entity                                      |   | NATION FEES                                 | 5               |             |  |  |  |
| Application Type  | <u>Fee (\$)</u>                 | Fee (\$) Fee (\$                                 | Fee (5)   | Fee (5)   | Small Entity<br>Fee (5)                     | Fees Pa         | ld (\$)     |  |  |  |
| Utility   | 310                             | 155 510  | 255   | 210   | 105   |                 |             |  |  |  |
| Design  | 210                             | 105 100  | 50  | 130   | 65  |                 |             |  |  |  |
| Plant   | 210                             | 105 310  | 155   | 160   | 80  |                 | -           |  |  |  |
| Reissue   | 310                             | 155 510  | 255   | 620   | 310   |                 |             |  |  |  |
| Provisional   | 210                             | 105 0  | 0   | 0   | 0   |                 |             |  |  |  |
| 2. EXCESS CLAIM F   | EES                             |  |   |   |   | S               | nall Entity |  |  |  |
| Fee Description Fee (\$) Fee (\$)   |                                 |  |   |   |   |                 |             |  |  |  |
| Each independent cla  |                                 |  |   |   |   | 210             | 25<br>105   |  |  |  |
| Multiple dependent o  |                                 | - ,  |   |   |   | 370             | 185         |  |  |  |
| Total Claims  | Extra Claims                    | Fee (\$) Fce F                                   | aid (\$)  |   | ultiple Depende                             |                 | 107         |  |  |  |
| HP = highest number of t  | x                               |  |   |   |   | Fee Pald (\$)   |             |  |  |  |
|   |                                 |  | ald (\$)  | _   |   |                 |             |  |  |  |
| - Manual - M  | Y Y                             | Fee P  | arc (a)   |   |   |                 |             |  |  |  |
| HP = highest number of i  | ndependent claims pa            | ld for, if greater than 3.                       |   |   |   |                 |             |  |  |  |
| 3. APPLICATION SIZ  |                                 | -  |   |   |   |                 | j           |  |  |  |
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$260 (\$130 for graph and in the problem) for each additional 50 |                                 |  |   |   |   |                 |             |  |  |  |
| sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)  |                                 |  |   |   |   |                 |             |  |  |  |
| - 100 = /50 = (round up to a whole number) x =  |                                 |  |   |   |   |                 |             |  |  |  |
| Non-English Specification, \$130 fee (no small entity discount)  Other (e.g., late filling surcharge): 1806 Submission of an Information Disclosure Statement 180.00  |                                 |  |   |   |   |                 |             |  |  |  |
| SUBMITTED BY  |                                 |  |   |   |   |                 |             |  |  |  |
|   | <del>&gt; -  - /         </del> | <del>}                                    </del> | Registration No.  | E0 :  | T :   |                 |             |  |  |  |
| Signature   | (Attorney/Agent)                | 53,624   | Telephone   | (617) 517-  | 5543  |                 |             |  |  |  |
| Name (Print/Type) (Jon;   | atheriM. Sperks                 | , Ph.D.  |   |   | Date  | July 30, 20     | 2008        |  |  |  |

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| Under the Paperwork Reduction Act of 1995, no persons are required to res   |  |  | Application Number     |          | 10/804,328-Conf. #7032  |  |  |  |  |  |
|   |  |  | Filing Date            |          | March 18, 2004  |  |  |  |  |  |
| FORM  |  |  | First Named Inventor   |          | Nobuyuki Takamori   |  |  |  |  |  |
|   |  |  | Art Unit               |          | 1794  |  |  |  |  |  |
| (to be used for all correspondence after initial filing)  |  |  | Examiner               | Vame     | E. E. Mulvaney  |  |  |  |  |  |
| Total Numb  | er of Pages in This Submis                 | sion   | Attorney Docket Number |          | er 61032(70904)   |  |  |  |  |  |
|   | ENCLOSURES (Check all that apply)          |  |                        |          |   |  |  |  |  |  |
| X Fee Transmillal Form  Fee Altached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  X Information Disclosure Statement |  | Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s) |                        | lon      | After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprletary Information Status Letter Other Enclosure(s) (please Identify below): |  |  |  |  |  |
| Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  Reply to Missing Parts under 37 CFR 1.52 or 1.53  |  | Remarks  | ape Table on           | СD       |   |  |  |  |  |  |
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| Firm Name   |  |  |                        |          |   |  |  |  |  |  |
| Signature   |  |  |                        |          |   |  |  |  |  |  |
| Printed name  | onathandi. Sparks,                         | Ph.D.  |                        |          |   |  |  |  |  |  |
| Date  | July 30, 2008                              |  |                        | Reg. No. | 53,624  |  |  |  |  |  |

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Docket No.; 61032(70904) (PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Nobuyuki Takamori et al.

Application No.: 10/804,328

phoalion 140.. 10/604,326

Filed: March 18, 2004

For: O

OPTICAL INFORMATION RECORDING

MEDIUM, RECORDING AND READOUT METHODS USING THE SAME, OPTICAL INFORMATION RECORDING DEVICE, AND OPTICAL INFORMATION READOUT

DEVICE

Confirmation No.: 7032

Art Unit: 1794

Examiner: E. E. Mulvaney

#### **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed after the mailing date of a Final Office Action or Notice of Allowance, whichever occurred first, but on or before payment of the Issue Fee (37 CFR 1.97(d)). Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered.

I hereby certify, pursuant to 37 CFR 1.97(e)(2), that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after

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NO. 6802 P. 6/8

JUL 3 0 2008

Application No.: 10/804,328

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Docket No.: 61032(70904)

making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. The cited referenes were cited during the prosecution of United States Application Number 10/862,187.

Copies of the references on the PTO/SB/08 are not provided.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Deposit Account No. 04-1105 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 61032(70904). A duplicate copy of this paper is enclosed.

Dated: July 30, 2008

Respectfully submitte

onathan M. Sparks, Ph.D. Registration No.: 53,624

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